

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

50,476A

Total Pages

First Named Inventor or Application Identifier

Ainley

Express Mail Label No.

EV120184601US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Title: REGULATORY SEQUENCES FOR TRANSGENIC PLANTS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450, Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 89]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets \_\_\_\_\_]
4. ☐ Declaration and Power of Attorney [Total Pages \_\_\_\_\_]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference  
The entire disclosure of the prior application identified in  
Box 18 is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☒ Computer Readable Copy  
b. ☒ Paper Copy (identical to computer copy)  
c. ☒ Statement verifying identity of above copies
8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information,  
which Applicants may request to be expunged from  
the application file.
16. ☒ Authorization for payment of fees and Petition for  
Extensions of Time.
17. ☐ Other: \_\_\_\_\_

ACCOMPANYING APPLICATION PARTS

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: This is a divisional application of U.S. Serial No. 09/643, 971, filed August 22, 2000 which is a divisional of U.S. Serial No. 09/097319, filed June 12, 1998 which claimed priority from U.S. Serial No. 60/049,752, filed June 12, 1997.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 25212 or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

Eric J. Kraus

Eric J. Kraus

Reg. No.: 36,190

Phone No.: (317) 337-5110

Fax No.: (317) 337-4847



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Case No. : 50,476A

Application of : Michael Ainley, et al

For: REGULATORY SEQUENCES FOR TRANGENIC PLANTS

No. of Drawing Sheets:

EXPRESS MAIL MAILING LABEL NO. EV120184601US

DATE OF DEPOSIT: January 16, 2004

Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1529. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee		<u>\$770.00</u>
Total Number of Claims	<u>43</u>	
Less (Basic Fee)	<u>20</u>	
B. Extra Claims	<u>23</u> x \$ 18.00 =	<u>\$414.00</u>
Total Number Independent Claims	<u>11</u>	
Less (Basic Fee)	<u>3</u>	
C. Extra Independent Claims	<u>8</u> x \$ 84.00 =	<u>\$672.00</u>
D. Multiple Dependent Claims Presented	+ \$290.00 =	<u>\$</u>
TOTAL FILING FEE (A+B+C+D) =		<u>\$1856.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,

Eric J. Kraus

Eric J. Kraus

Registration No.: 36,190

Phone: (317) 337-5110

Dow AgroSciences LLC  
9330 Zionsville Road  
Indianapolis, Indiana 46268

Date:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Michael Ainley, et al

Attorney Docket No.: 50,476A

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: REGULATORY SEQUENCES FOR TRANSGENIC PLANTS

EXPRESS MAIL MAILING LABEL NO. EV120184601US

DATE OF DEPOSIT: January 16, 2004

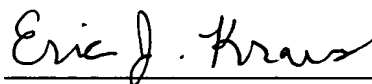
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES  
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1529.

Respectfully submitted,



Eric J. Kraus

Registration No.: 36,190

Phone: (317) 337-5110

Date: January 16, 2004

9330 Zionsville Road  
Indianapolis, Indiana 46268